

Electronic Acknowledgement Receipt

EFS ID:	5239730
Application Number:	10661763
International Application Number:	
Confirmation Number:	8158
Title of Invention:	Graphical user interface related to non-standard trading of financial instruments
First Named Inventor/Applicant Name:	Timothy H. Heaton
Customer Number:	63710
Filer:	Glen Farbanish/LAURA ALTO
Filer Authorized By:	Glen Farbanish
Attorney Docket Number:	03-1072
Receipt Date:	29-APR-2009
Filing Date:	11-SEP-2003
Time Stamp:	11:32:28
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 1650
RAM confirmation Number	7319
Deposit Account	503938
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Extension of Time	03-1072_090429_Ext_of_Time.pdf	19847	no	1
			15644c13881341db99ccac67794eeffdd6f5a09		
Warnings:					
Information:					
2	Notice of Appeal Filed	03-1072_090429_Appeal_Notice.pdf	21679	no	1
			169b4bc26826e1dc8f5331a1ad27559eeef5a5c		
Warnings:					
Information:					
3	Pre-Brief Conference request	03-1072_090429_RQ_PreAppel_Brief_Conference.pdf	50040	no	5
			225484ae050dc4372a5c39c486c2c6d45ba6c		
Warnings:					
Information:					
4	Fee Worksheet (PTO-875)	fee-info.pdf	31701	no	2
			91880c1696a409ba2b745686acfc1809d3cc14		
Warnings:					
Information:					
Total Files Size (in bytes):			123267		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.